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\* Admitted in NY only  
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April 1, 2002

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No.: 09/819,104  
Title: *NOVEL NUCLEAR RECEPTOR COREPRESSOR MOLECULES AND USES THEREFOR*  
Inventor: J. Don Chen  
Filed: March 27, 2001  
Our Reference No.: UMG-030

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Preliminary Amendment and Response to Notice of Incomplete Reply (Nonprovisional) (2 pages) (*in duplicate*);
2. Copy of Notice of Incomplete Reply (Nonprovisional) (2 pages);
3. Transmittal Letter for Substitute Diskette Containing Sequence Listing (1 page);
4. Substitute Diskette Containing Sequence Listing;
5. Corrected Figures 1 and 3 (4 sheets); and
6. Return postcard.

No additional costs are believed to be due in connection with this filing. However, please charge any other necessary fees due in connection with the enclosed statement to our Deposit Order Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. For this purpose, a duplicate of this sheet is attached.

Express Mail® mailing label number EL 941 615 309 US

Date of Deposit April 1, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231

Signature

Indio P. Cardoso

Please Print Name of Person Signing

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

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Page 1 of 2

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/819,104	03/27/2001	J Don Chen	UMG-030

000959  
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**DOCKETED**

*Substituted Drawing  
Sequence Listing  
Esp*

CONFIRMATION NO. 4327

FORMALITIES LETTER



\*OC000000007626788\*

Date Mailed 03/13/2002

### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 12/14/2001 to the Notice to File Missing Parts (Notice) mailed 05/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice:

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

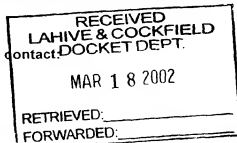
The application is informal since it does not comply with the regulations for the reason(s) indicated below:

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm (1 inch), a left side margin of at least 2.5 cm (1 inch), a right side margin of at least 1.5 cm (5/8 inch), and a bottom margin of at least 1.0 cm (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

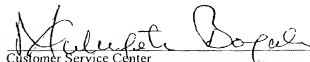
- For Rules Interpretation, call (703) 308-4216
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- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE